RESEARCH PAPER

## A New *In Situ* Brain Perfusion Flow Correction Method for Lipophilic Drugs Based on the pH-Dependent Crone-Renkin Equation

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## ABSTRACT

**Purpose** To determine the flow-corrected luminal permeability,  $P_c$ , of lipophilic drugs measured by the *in situ* brain perfusion method under circumstances where the traditional Crone-Renkin equation (CRE) method, using diazepam as a flow marker, often fails.

**Methods** The pH-dependent rate of brain penetration of five lipophilic drugs (amitriptyline, atomoxetine, imipramine, indomethacin, maprotiline, sertraline), as well as of atenolol and antipyrine, were measured in Sprague-Dawley rats. A new pH-dependent CRE was derived and applied to remove the hydrodynamic component of effective permeability,  $P_e$ , to produce  $P_c$  values.

**Results** It was shown by the analysis of the *in situ* data in the pH 6.5–8.5 interval for the lipophilic bases that the average vascular flow  $F_{pf}$ =0.036 mL·g<sup>-1</sup>·s<sup>-1</sup>, centered in a "flow-limit window" (FLW) bounded by  $P_e^{min}$ =170 and  $P_e^{max}$ =776 (10<sup>-6</sup> cm·s<sup>-1</sup> units). It was shown that the traditional CRE is expected not to work for half of the molecules in the FLW and is expected to underestimate (up to 64-fold) the other half of the molecules.

**Conclusion** The new pH-CRE flow correction method applied to lipophilic ionizable drugs, based on the pH partition hypothesis, can overcome the limitations of the traditional CRE.

**KEY WORDS** blood-brain barrier  $\cdot$  brain permeability-surface area (PS)  $\cdot$  crone-Renkin equation  $\cdot$  PAMPA-BBB  $\cdot$  rodent *in situ* brain perfusion

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## ABBREVIATIONS

ADDILLY	
DRW	dynamic range window for flow-limited drugs, $DRW = \log(E_c/S) = \max(\log P_c \log P_c)$
	$D(vv = \log(1 p_f/3) = \max(\log 1, \log p_{ara})$
FLVV	flow limit window: $FLW = \log(F_{pf}/S) \pm 3 SD =$
	$-3.44 \pm 0.33$ (SD = standard deviation)
$F_{pf}$	cerebrovascular flow velocity of perfusion fluid
	(mL·g '·s ' brain tissue)
K <sub>in</sub>	unidirectional transfer constant (mL $\cdot$ g <sup>-1</sup> $\cdot$ s <sup>-1</sup> ):
	$K_{in} = (Q_{br}/C_{pf})/T$ , where $Q_{br} = test$ compound
	parenchymal brain concentration (nmol·g
	brain tissue) (corrected for the vascular volume),
	$C_{pf} = perfusion fluid concentration (nmol·mL-1),$
	T = perfusion time (s).
Рав	aqueous boundary layer permeability coefficient
· ABL	$(cm:s^{-1})$ in vitro or PAMPA model
D	
Гарр	apparent in vitro transcenular permeability coem-
_	cient (cm·s ·)
Pc	corrected-for-flow luminal permeability coefficient
	$(cm \cdot s^{-1})$ depends on pH for ionizable permeants
	(hyperbolic function); basis of the pH partition
	hypothesis
P <sub>c</sub> S	permeability-surface area product (mL·g <sup>-1</sup> ·s <sup>-1</sup> ).
	traditionally determined from K. Using
	Cropp Reply aquation (CRE): $P S =$
	Crone-Renkin equation (CRE). $F_c S =$
-	$-F_{pf}\ln(1-K_{in}/F_{pf})$
Pe	effective permeability coefficient (cm·s '), not
	corrected for flow: $P_e = K_{in}/S$ depends on pH
	for ionizable permeants (sigmoidal function)
pH-CRE	new pH-dependent Crone-Renkin equation
	(CRE) flow correction method
P:	$\frac{1}{1000}$
- 1	ionized form of permeant
nK <sup>flux</sup>	pH where 50% of the permeation is due to
P'Na	luminal permeability and 50% due to the effective
	iurimai permeability and 50% due to the ellective
	permeability at the hydrodynamic limit

Pm	PAMPA transmembrane permeability coefficient
	(cm·s <sup><math>-1</math></sup> ), at pH 7.4, corrected for ABL
Po	intrinsic luminal permeability coefficient (cm·s <sup>-1</sup> ) of
	the neutral form of permeant for ionizable drugs,
	$P_o = P_c(10^{\pm (pH-pKa)} + 1)$ , with '+' for acids, '-'
	for bases
P <sub>para</sub>	paracellular permeability coefficient (cm·s <sup>-1</sup> ), in-

- para paracellular permeability coellicient (cms ), in dicating aqueous diffusion of permeant through the tight junctions formed by the blood-brain barrier
- S endothelial surface area in a gram of brain tissue (assumed to be  $100 \text{ cm}^2 \cdot \text{g}^{-1}$ )

## INTRODUCTION

The blood-brain barrier (BBB) permeability of a neuropharmaceutical agent can indicate the rate of drug delivery to the brain (1-4). A well-tested method for estimating this permeability is the *in situ* brain perfusion technique (5-7). The initial uptake clearance of a drug perfused into the carotid artery can be represented by Kin, the unidirectional transfer constant, which reflects the transport at the luminal BBB membrane. Often, this coefficient is corrected for the effect of hydrodynamic flow from the arterial to the venous side of a microcapillary bed, to yield the permeability-surface area product, Pc S ("corrected-for-flow"). The product of the luminal permeability,  $P_c$  (cm·s<sup>-1</sup>), and the endothelial surface area (per gram of brain tissue), S ( $cm^2 \cdot g^{-1}$ ), has commonly been estimated by the Crone-Renkin equation (CRE) (8-10) as  $P_c S = -F_{pf} ln(1 - K_{in}/F_{pf})$ , where  $F_{pf}$  is the cerebral perfusion fluid velocity  $(mL \cdot g^{-1} \cdot s^{-1})$ , the value of which is determined by a validated flow calibrant, such as diazepam (5-7, 11-14).

However, the Crone-Renkin equation can be unreliable when the  $K_{in}$  of a test compound is near or higher than the  $K_{in}$  (i.e.,  $F_{pf}$ ) of the flow marker diazepam. This has to do with the need for the  $1 - K_{in}/F_{pf}$  term in the Crone-Renkin equation to be a positive number and the limits of experimental error. Based on the standard propagation of errors in  $K_{in}$  and  $F_{pf}$  to the calculated quantity  $1 - K_{in}/F_{pf}$ , the error in K<sub>in</sub> would need to be less than the theoretical difference  $(F_{pf} - K_{in})/\sqrt{2}$  in order for the Crone-Renkin equation to be generally solvable. Given the interlaboratory variance in permeability measurements (e.g.,  $\log P_{c} \pm SD$  values of antipyrine, colchicine, and sucrose are  $-4.1\pm0.2$ ,  $-5.3\pm0.3$ , and  $-6.9\pm0.5$ , respectively, with each mean based on 13-21 literature values), the critical condition cannot be generally met by compounds that are near or at the flow limit. Since such molecules would posses  $\log K_{in} = \log F_{pf} \pm 0.3$ , the Crone-Renkin equation based on accurately-determined diazepam (i.e., positioned near

the center of the dispersion) would not work for many of the flow-limited molecules or would produce systematically *underestimated* flow-corrected luminal permeability values.

In the Summerfield *et al.* study (11), where 49 *in situ* rat brain perfusion values were reported, 17 compounds (35%) had K<sub>in</sub> greater than that of diazepam. In all, possibly as many as 70% of the drugs tested were flow-limited. In the Dagenais *et al.* (12) P-glycoprotein (Pgp)-knockout mouse study, 3 drugs out of 19 also had K<sub>in</sub> exceed that of diazepam. There are additional examples where diazepam cannot be used for some of the compounds (13,14).

This may be a more common problem than realized in practice in the determination of the luminal BBB permeability of compounds which are close to or are at the flow limit, due to the normal variance of *in situ* brain perfusion measurement. If this hydrodynamic aspect is not correctly factored in, the uptake clearance values determined by the *in situ* perfusion method for flow-dependent drugs would be underestimated (when calculable) and might not reliably correlate with molecular or membrane properties characterizing the rate of penetration into the brain and the subsequent rate of distribution between the brain cellular compartments. Also, *in vitro-in vivo* correlations (IVIVC) could be affected by the likely mismatch of *in vivo* and *in vitro* hydrodynamic characteristics.

Two approaches were explored in this study to overcome the practical limitations mentioned above. First, we sought to identify demonstrably flow-limited molecules, sufficiently soluble in the buffer solutions, whose (flow-corrected) luminal permeability would be greater than that of diazepam, with the aim of providing possible alternatives to diazepam. To verify that the selected molecules were indeed at the flow limit, we selected ionizable drugs which would have shown pH dependence in permeability (15) were they below the flow limit. Second, we explored the pH dependence of the permeation of ionizable compounds possessing high luminal BBB permeability as a way of "self-correcting" for flow, just as had been done successfully in pH-dependent Caco-2 measurements using the so-called 'flux-pKa' method to correct for the effects of the resistance of the aqueous boundary layer (ABL), a somewhat different hydrodynamic effect associated with planar in vitro cell monolayer permeability models (15, 16). As far as we are aware, exploitation of the inherent pH dependence in the Crone-Renkin equation had not been done before, although several studies have explored the effects of changes in the pH on the permeability properties of the BBB (17-20).

In this study, the pH-dependent rate of brain penetration of six drugs (amitriptyline, atomoxetine, imipramine, indomethacin, maprotiline, sertraline)—expected to be conditionally flow-limited—were evaluated in male Sprague-Dawley rats using the *in situ* rat brain perfusion technique (5). The Pgp specificity of sertraline and amitriptyline have been reported to be minimal, with the "Pgp effect" ratios  $K_{in}^{knockout}/K_{in}^{wildtype} = 1.2$  and 1.0, respectively (12). The rate of brain penetration for indomethacin was assessed at pH 5.5 and pH 6.5, while those of the lipophilic bases were assessed in the pH interval 6.5 to 8.5. The concentrations of all test and control (atenolol, antipyrine) compounds in the brain were determined by LC-MS/MS. The permeability model analysis indicates a very promising new method to overcome some of the traditional limitations of flow markers such as diazepam.

## MATERIALS AND METHODS

#### **Chemicals and Materials**

Amitriptyline, antipyrine, atenolol, atomoxetine, imipramine, indomethacin, maprotiline and sertraline were purchased from Sigma-Aldrich (St. Louis, MO, USA) and used as received. Krebs Ringer bicarbonate buffer (KRB), MES (2-(N-morpholino)-ethanesulfonic acid), bicine (N,Nbis(2-hdroxyethyl)glycine), and taurine (2-aminoethanesulfonic acid) were also purchased from Sigma-Aldrich. Standardized solutions used to determine the ionization constants of the drugs were obtained from pION INC.

### pK<sub>a</sub> Determination at 37°C

The Gemini Profiler (pION) was used to determine the pK<sub>a</sub> values of all the ionizable drugs studied here. For each compound, at least three replicate titrations were performed at 37±0.5°C in 1 mL of 0.15 M KCl medium. In order to mimic the physiological condition of the BBB, the new method requires pK<sub>a</sub> values at 37°C. The titrated solutions were bathed with argon to minimize the ingress of ambient carbon dioxide. The double-junction pH electrode was calibrated in situ using the Avdeef-Bucher (21) fourparameter procedure in the same titration used for the pK<sub>a</sub> determination, eliminating the need for traditional "blank" titrations (21,22). Typically, acids are titrated from pH 12.2 to 1.8 with 0.5 M HCl, while bases are titrated from pH 1.8 to 12.2 with 0.5 M KOH. Since most of the drugs studied were only sparingly soluble in water, the 1-propanol (or dimethylsulfoxide) cosolvent procedure (22) was used, where the apparent pK<sub>a</sub> values at various ratios of cosolvent (14-43 wt%) were linearly extrapolated to zerocosolvent to estimate the aqueous value.

## In Situ Brain Perfusion Measurement

All of the animal dosing experiments and the LC-MS/ MS analyses were performed by Absorption Systems, LP (Exton, PA, USA). The Takasato *et al.* method used here was similar to that published by Summerfield *et al.* (11).

## Preparation of Dosing Solutions for in Vivo Study

Perfusate solutions were prepared to contain 3–11  $\mu$ M of the test compound and two controls: 53–63  $\mu$ M atenolol (intravascular space marker) (23), 5–7  $\mu$ M antipyrine (moderate brain permeability marker) (24). Sertraline perfusate solutions at pH 6.5 also contained 5  $\mu$ M cyclosporine A (CsA). KRB buffer at pH 7.4 was modified to make buffers at pH 5.5, 6.5, 8.0, and 8.5. To prepare pH 5.5 and 6.5 buffers, KRB buffer was supplemented with 10 mM MES and then adjusted to the final pH with 1 M HCl. Buffers at pH 8.0 and 8.5 were prepared by supplementing KRB buffer with 10 mM bicine or taurine and adjusting to final pH with 1 M NaOH. Discrete dosing solutions were prepared for each test compound.

#### Animal Dosing

Animals used in this study were male Sprague-Dawley rats (300–330 g), obtained from Hilltop Lab Animals, Scottdale, PA. Animals were housed in a temperaturecontrolled animal facility at West Chester University (West Chester, PA). All of the procedures were approved by the Institutional Animal Care and Use Committee of West Chester University, and they were conducted in accordance with approved standards for laboratory animal care.

Upon arrival, the rats were assigned randomly to treatment groups and acclimated for at least 24 h. Fortyeight rats were used in the study. The animals were supplied with water and a commercial rodent diet *ad libitum* during the study. On the day of the experiment, the rats were anesthetized with a solution containing 50 mg·kg<sup>-1</sup> ketamine and 3 mg·kg<sup>-1</sup> xylazine. The left common carotid artery was cannulated with a polyethylene-60 catheter (BD Biosciences, Sparks, MD). Branch arteries were tied, and the cardiac supply was cut off at the start of perfusion.

The perfusion fluid consisted of Krebs-Ringer bicarbonate buffer (KRB), pH adjusted, and was oxygenated with a gas mixture of 95%  $O_2$  and 5%  $CO_2$  prior to the perfusion. The infusion flow rate was 20 mL·min<sup>-1</sup>. Each compound was perfused in four animals. Following the 30-s perfusion, the pump was stopped, and brains were quickly removed from the skull, and the left cerebral hemisphere was excised. Each left hemisphere was placed into a chilled tube, frozen on dry ice, and stored frozen at -60 to -80°C until analysis.

## Sample Analysis

## Analysis of Brain Samples

The left brain hemisphere from each rat was thawed and weighed. Methanol (20% in water) was added to each left brain hemisphere at about 4 mL·g<sup>-1</sup> of brain tissue, and the mixture was homogenized using sonication with a VirSonic Ultrasonic Cell Disruptor 100 (VirTis).

LC-MS/MS analytical methods for the determination of the test compounds in rat brain homogenate were subjected to a single day, pre-study validation in order to evaluate their accuracy and precision. Samples were introduced into the mass spectrometer by injecting 10 µL of sample through either a PerkinElmer Life and Analytical Sciences (Wellesley, MA) Series 200 HPLC system made up of an autosampler and two micropumps, or on a Leap Technologies (Carrboro, NC) HPLC system made up of a FLUX Instruments quaternary pump and a CTC Analytics HTC PAL autosampler (Presearch, Hitchin, UK). Chromatography was conducted in the reverse-phase mode on either a BDS Hypersil C18,  $30 \times 2.1$ -mm column (3 µm, Thermo-Hypersil Keystone, Bellefonte, PA), an AQUASIL C18,  $30 \times 2.1$ -mm column (3 µm, Thermo-Hypersil Keystone), or a Capcell Pak MF C8, 50×2.0-mm column (5 mm, Phenomenex, Torrance, CA). The aqueous mobile phase consisted of 10% 25 mM ammonium formate buffer at pH 3.5 and 90% water. The organic mobile phase consisted of 10% 25 mM ammonium formate buffer at pH 3.5 and 90% acetonitrile. Analytes were eluted using a gradient of aqueous and organic mobile phase at a flow rate of 300 µL·min<sup>-1</sup>. Analysis was performed on Applied Biosystems (Foster City, CA) API3000 or API4000 triple quadrupole mass spectrometers equipped with an electrospray source at 450°C and operated in the multiple reaction monitoring (MS/MS) mode. Mass spectrometer parameters were individually optimized for each analyte. Typical run times ranged from 3.5 to 4.5 min.

#### Acceptance Criteria and Method Validation

One eight-point standard curve and three levels of quality control (QC) samples with six replicates each were analyzed. Standards and QC samples were prepared from independently prepared stock solutions of the test compounds. At least 60% of the standards were required to have accuracy within  $\pm 15\%$ , except at the lowest limit of quantification (LLOQ), where  $\pm 20\%$  was acceptable. Twothirds of the batch QCs were required to have accuracy within  $\pm 15\%$  of nominal, and at least three QCs were required to pass at each level in order for the run to be accepted. The intra-assay coefficient of variation of the replicate QC determinations were required to be  $\leq 15\%$ , and the accuracy of the mean value for each QC level was required to be within  $\pm 15\%$  of the theoretical value. At least four of six QC determinations at each level were required to be available to calculate the statistics.

#### Calculation of In Situ Brain Permeability

The unidirectional transfer constant for the initial brain uptake of the drug,  $K_{\rm in}$  (mL·s<sup>-1</sup>·g<sup>-1</sup>), was calculated as previously described (5,6):

$$K_{in} = \left[\frac{\left(Q_{lot} - V_{vasc} \cdot C_{pf}\right) / C_{pf}}{T}\right]$$
(1)

where  $Q_{tot}$  is the total measured quantity of the drug in the brain (nmol·g<sup>-1</sup> brain tissue, both vascular and extravascular) at the end of the perfusion,  $V_{vasc}$  is the cerebral vascular volume (mL·g<sup>-1</sup>) estimated using atenolol,  $C_{pf}$  is the total perfusate concentration of the drug (nmol·mL<sup>-1</sup>), and T is the net perfusion time (s). The amount of the drug in the brain parenchyma was determined by subtracting from  $Q_{tot}$ the amount of the drug contained in the capillary vascular space (1–2% for the flow-marker compounds). Since a single time point was used, Eq. 1 assumes that uptake is linear with time in the first 30 s of perfusion and that metabolic loss is negligible. Linearity was suggested for most of the compounds from earlier studies done at pH 7.4 (7,11,12).

The value of  $K_{in}$  depends on the perfusion flow velocity used in the assay. Highly permeable drugs cannot penetrate the brain any faster than flow velocity permits and are designated as "flow-limited." The Crone-Renkin equation is used to convert the  $K_{in}$  values of test compounds to the flow-independent permeability-surface area product value,  $P_c$  S, with  $F_{pf}$  defined by the  $K_{in}$  of diazepam. In the dependent-variable form, the Crone-Renkin equation is

$$\mathcal{K}_{in} = F_{ff} \left( 1 - e^{-\frac{P_c S}{P_{ff}}} \right) \tag{2}$$

## The pH-CRE (Crone-Renkin Equation) Flow Correction Method

For ionizable molecules, the rate of passive diffusion across the BBB can be interpreted in terms of the pH partition hypothesis: the uncharged form of a drug is expected to have permeability proportional to lipophilicity, and the charged form is expected to be practically impermeable. The fraction of a weak acid/base in the uncharged form depends on the pH of the perfusate and the  $pK_a$  of the molecule.

If  $K_{in}$  of an ionizable compound (with acid  $pK_a < 9$  or base  $pK_a > 5$ ) were measured at more than one pH, there is an opportunity to determine  $F_{bf}$  without the use of standard flow markers, such as diazepam. For such ionizable drugs, the permeability term,  $P_c$ , refers to the luminal permeability (commonly at pH 7.4), where the molecule may be partially charged. Usually, the uncharged form of the molecule has a higher 'intrinsic' permeability,  $P_o$ , compared to the permeability of the fully ionized form of the molecule,  $P_i$ . Carriermediated effect, which preferentially favors the charged form of the drug, can increase  $P_i$  substantially. For a monoprotic acid or base, the relationship between  $P_c$  and pH can be stated as a sum of permeation by three meansas a neutral species  $(P_o)$ , as a charged species  $(P_i)$ , and as a leaked species through paracellular water-filled pores in the tight junctions between endothelial cells  $(P_{para})$ :

$$P_{c} = \frac{P_{o}}{(10^{\pm(\rho H - \rho K_{a})} + 1)} + \frac{P_{i}}{(10^{\pm(\rho K_{a} - \rho H)} + 1)} + P_{para}$$
(3)

where the '±' symbol represents the '-' sign for bases and the '+' sign for acids. The paracellular junctions at the BBB are normally very tight. Since sucrose (342 Da) and inulin (~5600 Da) have typical *in vivo*  $P_c$  (paracellular) values of about 0.15 and  $0.04 \times 10^{-6}$  cm·s<sup>-1</sup>, respectively (26),  $P_{para}$ contributions to Eq. 3 can be neglected in most calculations. Also,  $P_i$  can be neglected in most calculations, unless the charged form of the drug is a substrate of an uptake transporter.

The pCEL-X v3.0 computer program (pION) was used to determine the  $F_{p/2}$   $P_o$  and, where possible,  $P_i$  or  $P_{para}$  (but not both), by a weighted nonlinear regression analysis based on the logarithmic form of Eq. 2, as expanded in Eq. 4:

$$\log K_{in}^{calc}(F_{bf}, P_o, P_i, P_{para}) = \log F_{bf} + \log \left[ 1 - e^{-\left(\frac{P_o}{(10^{\pm (\rho H - \rho K_a)} + 1)} + \frac{P_i}{(10^{\pm (\rho H - \rho H)} + 1)} + P_{para}\right) \cdot \frac{S}{F_{bf}}} \right]$$
(4)

The endothelial surface area was assumed to be 100 cm<sup>2</sup>·g<sup>-1</sup> (27). The partial derivatives of the log  $K_{in}$  function with respect to the refinable parameters  $F_{pf}$ ,  $P_o$ ,  $P_i$ , and  $P_{para}$ , calculated explicitly in the *p*CEL-X program, based on standard mathematical techniques. The weighted residual's function minimized was

$$R_{w} = \sum_{i}^{n} \left( \frac{\log K_{in,i}^{obs} - \log K_{in,i}^{calc}}{\sigma_{i} (\log K_{in})} \right)^{2}$$
(5)

where *n* is the number of  $K_{in}$  values used in the model refinement, and  $\sigma_i(\log K_{in})$  is the standard deviation of the logarithm of the i<sup>th</sup> measured  $K_{in}$ . The effectiveness of the refinement was characterized by the goodness-of-fit,

 $\text{GOF} = [R_w/(n - n_V)]^{1/2}$ , where  $n_V$  refers to the number of varied parameters. The expected value of GOF is 1 if the model is suitable for the data and the measured standard deviations accurately reflect the precision of the data.

To summarize, the pH-CRE flow correction method can be applied to a flow- or near-flow-limited ionizable drug (whose  $pK_a$  value is known at 37°C) (a) by measuring  $K_m$  in at least two different pH buffers in the pH 5.5-8.5 range, (b) so that molecule is minimally charged in one of the pH buffers and is at or near the flow limit, (c) so that the molecule is substantially charged at the other pH buffer and is well below the flow limit, (d) by analyzing the  $K_{in}$  as a function of pH using Eq. 4 to determine the intrinsic permeability,  $P_o$ , and (e) by determining  $P_c$  at a particular pH using Eq. 3. The method does not require an external flow calibrant such as diazepam. Moreover, the drug chosen for the pH-CRE analysis can also serve as a suitable substitute for diazepam in the traditional CRE method, since the value of F<sub>pf</sub> is also determined by the analysis (Eq. 4).

# Capillary vs. Planar Hydrodynamic Effects in Permeability Assays

The capillary-flow CRE,  $\log K_{in}$  as a function of pH (Eq. 4), has a sigmoidal shape (solid curve in Fig. 1). Similarly, the logarithmic form of the apparent permeability,  $\log P_{app}$ , as a function of pH for *in vitro* models based on planar



**Fig. I** The characteristics of the capillary- and planar-based equations for a hypothetical moderately lipophilic base molecule with  $pK_a=9.0$ , log  $P_o=-2.0$ , log  $P_i=-5.0$ ,  $P_{ABL}=-3.4$  (log cm s<sup>-1</sup> units), S=100 cm<sup>2</sup>·g<sup>-1</sup>, and  $F_{pf}=0.04$  mL·g<sup>-1</sup>·s<sup>-1</sup> (log ( $F_{pf}/S$ ) = -3.4). The thick solid curve represents log  $P_e$  (=log ( $K_{in}/S$ ); cf., Eq. 4). The dashed curve represents the transcellular permeability (Eq. 3 with  $P_i$  and  $P_{para}$  excluded). The horizontal dotted line marks off the permeability limit due to the hydrodynamic effects (flow limit or ABL). The dash-dot-dot curve represents planar cell model log  $P_{app}$  (Eq. 6). The  $P_{a}^{flux}$  value is the pH where 50% of the permeation is due to transcellular permeability and 50% due to the apparent permeability at the hydrodynamic limit.

monolayers of cells (e.g., Caco-2, MDCK) also has a sigmoidal shape (dash-dot-dot curve in Fig. 1). However, the two are not identical. The planar *in vitro* cell permeability model can be expressed by its underlying components:  $P_{ABL}$  (aqueous boundary layer permeability) and  $P_c$  as

$$\log P_{app} = -\log\left(\frac{1}{P_{ABL}} + \frac{1}{P_c}\right) \tag{6}$$

with  $P_c$  defined by Eq. 3 and  $P_{ABL}=D_{aq}/h_{ABL}$ , where  $h_{ABL}$  is the thickness of the aqueous boundary layer (ABL). Values of the aqueous diffusivity,  $D_{aq}$ , can be empirically estimated from the molecular weight, MW, as  $D_{aq} = 0.991 \times 10^{-6} \text{MW}^{-0.453} \text{cm}^2 \cdot \text{s}^{-1}$  at 37°C (28).

Figure 1 illustrates the characteristics of the capillaryand planar-based equations for a hypothetical moderately lipophilic base molecule which has the selected parameters:  $pK_a=9.0$ ,  $P_o=0.01$  cm·s<sup>-1</sup>,  $P_i=0.00001$  cm·s<sup>-1</sup>, S=100 cm<sup>2</sup>·g<sup>-1</sup>, and  $F_{pf}=0.04$  mL·g<sup>-1</sup>·s<sup>-1</sup> (log( $F_{pf}/S$ )= -3.40). For the purpose of comparison of the two hydrodynamic models, the calculation illustrated in Fig. 1 assumes log  $P_{ABL}=-3.40$  (same as log ( $F_{pf}/S$ )).

The thick solid curve in Fig. 1 represents log  $P_e$  (=log  $(K_{in}/S)$ ; cf., Eq. 4). The dashed curve represents the transcellular permeability due to the uncharged species (Eq. 3 with  $P_i$  and  $P_{para}$  excluded), which is predicted by the pH partition hypothesis. The horizontal dotted line marks off the permeability limit due to the hydrodynamic effects (flow limit or ABL). The dash-dot-dot curve represents planar cell model log  $P_{app}$  (Eq. 6). As can be seen, the main differences between the capillary and planar models occur in the region of the bend in the curve. Both curves have a slope of 1 before the bend and a slope of zero after the bend. The pH in the middle of the bend is called the  $pK_a^{\text{flux}}$  value (15). This is the pH where 50% of the permeation is due to transcellular permeability and 50% due to the apparent/ effective permeability as a consequence of the hydrodynamic effect. This pH is indicated by the point of intersection of the horizontal hydrodynamic-based permeability line (dotted line, Fig. 1) and the diagonal portion of the transcellular permeability curve (dashed curve, Fig. 1). As discussed at greater length elsewhere (15,22,29-31), the value of the intrinsic permeability can be estimated for ionizable flow-limited molecules (i.e.,  $P_o >> F_{pf} / S$ ) from  $\log P_o = \log \left( F_{pf} / S \right) + \left| pK_a - pK_a^{flux} \right|.$ 

The solid curve in Fig. 1 indicates the range of possible values which can be directly measured. The dynamic range window (DRW) of direct measurements of permeability is defined by the difference between the maximum possible value at the top of the solid curve ( $P_e^{\max} = F_{pf}/S$ ) and the minimum possible value at the bottom of the fully shown sigmoidal curve ( $P_e^{\min}$ =maximum of  $P_i$  and  $P_{para}$ ).

#### **PAMPA-BBB** Values

In this study, the literature values of  $K_{in}$  for 132 molecules were compared to the corresponding passive permeability values based on an artificial membrane model, with the latter values calculated using the computer program pCEL-X (pION). The parallel artificial membrane permeability assay (PAMPA) based on membranes formed from 10% w/v porcine brain extract dissolved in an alkane, PAMPA-BBB, described by Tsinman *et al.* (34), has its prediction encoded into pCEL-X so that values of membrane permeability at pH 7.4,  $P_m^{\text{PAMPA-BBB}}$ , can be estimated from 2D structures ('mol' file format) alone. For the drugs whose *in situ* data were measured in this study, experimental intrinsic PAMPA-BBB values,  $P_o^{\text{PAMPA-BBB}}$ , were taken from Tsinman *et al.* (34).

#### **RESULTS AND DISCUSSION**

#### **pK**<sub>a</sub> Determinations

Table I lists the physical properties of the molecules considered, including the 37°C  $pK_a$  values determined in this study. The experimental octanol-water partition coefficients are taken from common sources (22). Since many of the molecules considered are only sparingly soluble, the  $pK_a$  values were determined in the mixed solvent approach (22). The choice of water-miscible organic solvents was dictated by the concern for elevated volatility at 37°C. The use of methanol (or similarly volatile solvents) is not recommended, since the steady rate of its evaporation leads to difficult-to-recognize systematic inaccuracies in the extrapolated values of the ionization constants. Typically, the  $pK_a$  values of bases are about 0.3 log units lower at 37°C, compared to values at 25°C; usually, the  $pK_a$  values of acids are less affected by temperatures (32).

#### In Situ Rat Brain Perfusion Measurement Results

Two groups of *in situ* rat brain perfusion measurements were performed at different times, designated as the "A" and "B" dosing groups in Tables II and III. In the A dosing group, indomethacin at pH 5.5 and 6.5 and sertraline at pH 6.5 and 8.5 were measured in quadruplicate (16 rats). Sertraline was perfused in the presence of 5  $\mu$ M C<sub>S</sub>A, a potent inhibitor of Pgp. The rest of the molecules (B dosing group) were measured several months later, at pH 7.4, 8.0, and 8.5 (32 rats). Sertraline at pH 8.5 was repeated in group B at 2.9  $\mu$ M concentration and in the absence of CsA, on the hunch that the group-A pH 8.5 measurement

#### Table I Physical Properties

Compound	MW	log P <sub>OCT</sub>	$\logP_o^{PAMPA-BBB}$	рК <sub>а</sub> (37°С)	GOF	n	Ref	Mixed-Solvent (0.15 M KCI) for $pK_{a}$ determination
Amitriptyline	277	4.6	-1.2	8.92±0.10	6.4	3	а	15–31 wt% 1-propanol
Antipyrine	188	0.6	-5.3	_	_	_	_	_
Atenolol	266	0.2	-5.3	9.19±0.01	1.9	6	32	aqueous
Atomoxetine	255	3.3	-1.8	$9.50 \pm 0.05$	1.4	3	а	25–43 wt% I-propanol
Diazepam	285	2.8	-3.8	_	_	_	_	_
Imipramine	280	4.4	-1.5	$9.23 \pm 0.06$	7.0	3	а	19–33 wt% dimethylsulfoxide
Indomethacin	358	3.5	-2.7	$4.27\pm0.08$	3.9	5	33	aqueous and 12–35 wt% 1-propanol
Maprotiline	277	5.1	-0.6	10.01±0.01	0.7	3	а	19–33 wt% dimethylsulfoxide
Sertraline	306	4.9	-1.7	$8.85\pm0.09$	2.8	3	а	15–31 wt% I-propanol

logP<sub>OCT</sub> are measured octanol-water partition coefficients taken from multiple sources (22). Values of logP<sub>o</sub><sup>PAMPA-BBB</sup> are measured PAMPA values (34) based on 10% porcine brain extract lipids dissolved in an alkane artificial membrane barrier. GOF is the goodness-of-fit for the pKa refinement based on n mixed-solvent titrations. <sup>*a*</sup> This work—potentiometric analysis using the Gemini Profiler (pION)

of 10  $\mu$ M sertraline and in the presence of CsA may have been mitigated by some precipitation of the drug. Since the repeated value was appreciably higher, the first value was discarded.

#### Vascular Space Determination

Table II summarizes the atenolol perfusion data. Following perfusion, the vascular space marked by atenolol, a compound that does not penetrate the brain except by very small paracellular leakage (log  $P_{bara}$  -7.25, Fig. 2c), ranged from 6.9 to 19.1  $\mu$ L·g<sup>-1</sup> (excluding two outliers). In the interval pH 5.5-7.4, two atenolol replicates (Vvasc 28.5 and 77.6  $\mu$ L·g<sup>-1</sup>) were excluded from the final average data, based on their high vascular volumes (Dixon's Q-test, 95% confidence limit). Of the 14 accepted measurements, the average  $V_{vasc}$  values at pH 5.5, 6.5, and 7.4 were 12.9± 3.0, 13.0  $\pm$  4.1, and 13.9  $\pm$  0.1  $\mu$ L·g<sup>-1</sup>, respectively. The pH 5.5 and 6.5 vascular volumes were used to make corrections to all the other measurements, according to Eq. 1. Averaged over the pH 5.5–6.5 interval (A-1 to A-3, Table II), the apparent vascular space volume in this study was  $13.0\pm3.7 \ \mu L g^{-1}$  brain tissue (average  $\pm$  SD; n=11), which is in agreement with the sucrose intravascular volume reported by Smith et al. (25) and many other investigators. It appears that the non-physiological pH values used in the perfusate solutions did not exhibit abnormal vascular volume, as directly indicated by atenolol for pH 5.5-7.4 and indirectly suggested by the antipyrine permeability for pH>7.4.

The atenolol data at pH 8.0 and 8.5 were not used for vascular space determination, but rather were treated as were the data for the test drugs. Table II shows  $K_{in}$  values of atenolol in the slightly alkaline pH 8.0 and 8.5 solutions, corrected for vascular volume using the pH 5.5–6.5 atenolol data. Although the values are small, they appear

to be consistent with the predictions of the pH partition hypothesis (Fig. 2c). The *in situ* brain perfusion permeability of atenolol had not been reported in the literature before this study.

Table II In Situ Brain Perfusion Controls

Compound	Dosing group	pН	Concn (µM)	$K_{in}(10^{-4} \text{ mL.g}^{-1}.\text{s}^{-1})$
atenolol	A-I	5.5 <sup>a</sup>	53.3	_d
	A-2	6.5ª	52.6	_d
	A-3	6.5 <sup>a</sup>	63.4	d
	B-5	7.4	61.7	$0.3 \pm 0.1$
	B-I	8.0 <sup>b</sup>	63.3	_e
	B-3	8.0 <sup>b</sup>	63.2	$0.2 \pm 0.9$
	B-7	8.0 <sup>b</sup>	58.1	$0.2 \pm 1.5$
	B-2	$8.5^{b}$	57.0	_e
	A-4	8.5 <sup>c</sup>	54.6	$3.5 \pm 5.2$
	B-4	$8.5^{b}$	62.1	$0.5 \pm 1.4$
	B-6	$8.5^{b}$	56.4	$0.1 \pm 0.3$
	B-8	8.5 <sup>b</sup>	57.0	$0.5 \pm 7.7$
antipyrine	A-I	5.5ª	6.7	$58 \pm 5$
	A-2	6.5 <sup>a</sup>	6.1	$50\pm7$
	A-3	6.5ª	6.8	$50 \pm 22$
	A-4	8.5 <sup>c</sup>	6.8	42±12
	B-I	8.0 <sup>b</sup>	5.5	67±13
	B-2	8.5 <sup>b</sup>	6.4	$48 \pm 12$
	B-3	8.0 <sup>b</sup>	5.7	$75\pm7$
	B-4	8.5 <sup>b</sup>	5.0	77±10
	B-5	7.4	5.6	$82 \pm 23$
	B-6	8.5 <sup>b</sup>	5.7	$80 \pm 15$
	B-7	8.0 <sup>b</sup>	5.8	72±12
	B-8	8.5 <sup>b</sup>	6.1	$70\pm5$

<sup>&</sup>quot;±" precedes the standard deviation, based on n = 4 rats, except n = 3 in Dosing Group A-1 and B-5. <sup>*a*</sup> 10 mM MES added to the KRB buffer. <sup>*b*</sup> 10 mM bicine added to the KRB buffer. <sup>*c*</sup> 10 mM taurine added to the KRB buffer. <sup>*d*</sup> Used to define average vascular space volume. <sup>*e*</sup> Excluded since vascular space correction produces a negative number

**Table III** In Situ Transfer Con-<br/>stants for the Flow-Limited Drugs

Each dosing group consisted of n = 4 rats. In two of the groups, n = 3 (a measurement was rejected due to high atenolol volumes of distribution). By comparison, the diazepam K<sub>in</sub> values reported in the literature include 0.033 and 0.043 mL.g<sup>-1</sup>.s<sup>-1</sup> (11,12). "±" precedes the standard deviation. <sup>*a*</sup> 10 mM MES added to the KRB buffer. <sup>*b*</sup> 10 mM bicine added to the KRB buffer. <sup>*c*</sup> 5  $\mu$ M CsA added to the KBR buffer

Compound	Dosing group	pН	Concn (µM)	K <sub>in</sub> (mL.g <sup>-1</sup> .s <sup>-1</sup> )
Indomethacin	A-I	5.5ª	7.3	$0.032 \pm 0.008$
	A-2	6.5 <sup>a</sup>	7.8	$0.004 \pm 0.001$
Sertraline	A-3	6.5 <sup><i>a</i>,<i>c</i></sup>	9.7	$0.035 \pm 0.023$
	B-4	8.5 <sup>b</sup>	2.9	$0.037 \pm 0.015$
Amitriptyline	B-I	8.0 <sup>b</sup>	11.0	0.044±0.013
	B-2	8.5 <sup>b</sup>	10.1	$0.045 \pm 0.014$
Atomoxetine	B-3	8.0 <sup>b</sup>	9.9	$0.026 \pm 0.010$
	B-4	8.5 <sup>b</sup>	9.6	$0.032 \pm 0.015$
Imipramine	B-5	7.4	11.5	0.03   ±0.02
	B-6	8.5 <sup>b</sup>	11.0	$0.032 \pm 0.002$
Maprotiline	B-7	8.0 <sup>b</sup>	10.8	0.052±0.011
	Dosing group         P           A-1         5           A-2         6           A-3         6           B-4         8           B-1         8           B-2         8           B-3         8           B-4         8           B-5         7           B-6         8           B-7         8           B-8         8	8.5 <sup>b</sup>	10.9	$0.036 \pm 0.013$

#### Antipyrine as a Control

Table II summarizes the antipyrine perfusion data. The  $K_{in}$  values of antipyrine, a moderate brain penetration marker, ranged from 0.0042 to 0.0082 mL·g<sup>-1</sup>·s<sup>-1</sup>, and were in reasonable agreement with published values (11). The A dosing group had a slightly lower average value than the B dosing group (0.0050±0.0007 and 0.0072± 0.0010 mL·g<sup>-1</sup>·s<sup>-1</sup>, respectively). There was no systematic pH dependence to the antipyrine  $K_{in}$  values (Fig. 2b). This observation, taken with the atenolol vascular volume estimates, suggests that the integrity of the BBB was maintained over the 30-s perfusion period even though the pH of the perfusate was non-physiological.

## The pH Dependence of the Ionizable Drugs

Table III summarizes the *in situ* brain perfusion results for the drugs studied in the interval pH 5.5–8.5. Figure 2 shows plots of the log permeability *vs.* pH for the measured drugs. Literature  $K_{in}$  data (11) at pH 7.4 for amitriptyline, atomoxetine, maprotiline, and sertraline were included with the measurements reported here in the regression analysis (Table IV).

The  $K_{in}$  of indomethacin decreased with increasing pH. At pH 5.5, indomethacin had an average  $K_{in}$  of  $0.032 \pm 0.008 \text{ mL} \cdot \text{g}^{-1} \cdot \text{s}^{-1}$ , while at pH 6.5, the average  $K_{in}$  decreased to  $0.0042 \pm 0.0008 \text{ mL} \cdot \text{g}^{-1} \cdot \text{s}^{-1}$  (Fig. 2f). The  $K_{in}$  of sertraline very slightly increased with increasing pH. At pH 6.5, the  $K_{in}$  for sertraline was  $0.035 \pm 0.022 \text{ mL} \cdot \text{g}^{-1} \cdot \text{s}^{-1}$ , while at pH 8.5, it was  $0.037 \pm 0.015 \text{ mL} \cdot \text{g}^{-1} \cdot \text{s}^{-1}$  (Fig. 2h). The other weak bases followed a similar trend, with very little pH dependence in the alkaline interval studied. Given the  $\rho K_a$  values of the weak bases, the absence of the steep pH dependence (as that seen with indomethacin and atenolol in Fig. 2) was strong evidence that the weak bases were at the flow limit.

## Refinement of the K<sub>in</sub> – pH Data

Table IV summarizes the results of the weighted nonlinear regression analysis based on Eq. 4. The solid curves in Fig. 2 depict the best-fit of log ( $K_{in}$  / S) values as a function of pH. The dashed hyperbolic curves represent the luminal permeability, log  $P_c$ , as a function of pH. These follow the pH dependence according to the pH partition hypothesis. For flow-limited molecules, the Crone-Renkin equation is theoretically expected to transform the solid (effective) curve to that represented by the dashed (luminal) curve. The unfilled circles in Fig. 2 represent the ideally corrected  $P_c$  values, if the Crone-Renkin equation were to use error-free  $K_{in}$  values, and  $F_{pf}$  values were precisely known.

Avdeef and Sun

Table IV lists the refined  $F_{p/6}$   $P_o$ , and  $P_i$  parameters. There were only enough data for the weak bases (n = 2 - 3, Table IV) to refine just one or two parameters. All of the measured lipophilic bases were flow-limited in the pH 7.4–8.5 interval. Maprotiline showed some luminal pH dependence at pH 7.4, as did sertraline at pH 6.5.

In addition to the compounds studied here, the Okura *et al.* (20) study of the *in situ* rat brain perfusion of oxycodone at pH 7.4 and 8.4 (using the infusion flow rate of  $4.9 \text{ mL}\cdot\text{min}^{-1}$  over a 30-s time interval) was subjected to the regression analysis, primarily to use it as an example of the pH partition hypothesis. Specifically, the 1 mM pyrilamine inhibitor case was examined, where the carrier-mediated process was largely saturated.

## Amitriptyline

The two  $K_{in}$  values determined in this study and a third value taken from the literature (11) allowed the determination of  $F_{pf} = 0.051 \pm 0.003 \text{mL} \cdot \text{g}^{-1} \cdot \text{s}^{-1}$ . Since the  $K_{in}$  at pH 7.4 (the lowest pH) was still flow-limited





**Fig. 2** Plots of the log permeability vs. pH for the measured drugs, including literature data at pH 7.4 for amitriptyline, atomoxetine, maprotiline, and sertraline (cf., Table IV). The curve types have been defined in Fig. 1. The solid curves depict the best-fit of log ( $K_{in}$  /S) (= log P<sub>e</sub>) values as a function of pH. For flow-limited molecules, the Crone-Renkin equation is theoretically expected to transform the solid (effective) curve to that represented by the dashed (luminal) curve. The unfilled circles represent the P<sub>c</sub> values determined by the pH-CRE method.

Compound	рΗ	log(K <sub>in</sub> /S)	Ref	$F_{pf}$ (mL.g <sup>-1</sup> .s <sup>-1</sup> )	$\log P_{\rm o}$	log P <sub>i</sub>	P <sub>c</sub> S (CRE)	P <sub>c</sub> S (pH-CRE)	GOF	n
Amitriptyline	7.4 8.0	$-3.27 \pm 0.06$ $-3.35 \pm 0.13$	 a a	0.05   ±0.003	-0.99 <sup>d</sup>		_c 549	2997 10,974	0.5	3
Antipyrine 5 6 7. 8.	8.5 5.5 6.5	$-3.33 \pm 0.13$ $-4.24 \pm 0.04$ $-4.30 \pm 0.12$	a a	0.036 <sup>e</sup>	$-4.13 \pm 0.02$		 63 54	28,173 77 77	1.2	12
	7.4 8.0 8.5	$-4.09 \pm 0.13$ $-4.15 \pm 0.06$ $-4.21 \pm 0.08$	a a				92 80 70	77 77 77		
Atenolol	8.0 8.5	$-6.62 \pm 0.00$ $-6.24 \pm 0.60$	a a	0.036 <sup>e</sup>	-5.51	-7.25	0.24 0.57	0.24 0.58		2
Atomoxetine	7.4 8.0 8.5	$-3.49 \pm 0.12$ $-3.59 \pm 0.16$ $-3.50 \pm 0.20$	 a a	0.03   ±0.002	-0.90 <sup>e</sup>		_c 549 c	805 3134 9295	0.4	3
Imipramine	7.4 8.5	$-3.50 \pm 0.29$ $-3.50 \pm 0.03$	a a	0.032	-1.01		-  437 2 50	1416 15,260		2
Indomethacin	5.5 6.5	$-3.50 \pm 0.10 \\ -4.39 \pm 0.08$	a a	0.081	-2.14		400 42	399 42		2
Maprotiline	7.4 8.0	$-3.48 \pm 0.11$ $-3.29 \pm 0.09$	a a	$0.047 \pm 0.006$	$-0.62 \pm 0.21$		580 <sup>c</sup>	591 2335	0.6	3
Oxycodone <sup>c</sup>	8.5 7.4 8.4	$-3.44 \pm 0.15$ $-4.78 \pm 0.03$ $-4.04 \pm 0.05$	20 20	0.126	-3.59	-5.47	691 17 94	7234 17 95		2
Sertraline	6.5 7.4 8.5	$-3.45 \pm 0.28$ $-3.14 \pm 0.12$ $-3.43 \pm 0.18$	a    a	0.059±0.013	$-0.91 \pm 0.51$		537 _ <sup>_</sup> 590	545 4202 37,881	1.0	3

Table IV Refinement Results—Unidirectional Transfer Constants as a Function of pH

 $K_{in}$  is the unidirectional transfer constant (mL.g<sup>-1</sup>.s<sup>-1</sup>). The endothelial surface area,  $S = 100 \text{ cm}^2 \cdot \text{g}^{-1}$  assumed. The intrinsic (neutral molecule) permeability is represented by  $P_o$  (cm.s<sup>-1</sup>). The permeability of the ionized species is represented by  $P_i$  (cm.s<sup>-1</sup>).  $P_c$  (cm.s<sup>-1</sup>) is the transendothelial permeability at a particular value of pH. GOF = goodness-of-fit in the n-point weighted nonlinear regression analysis based on Eq. 4.  $F_{pf}$  is the cerebrovascular flow velocity (mL.g<sup>-1</sup>.s<sup>-1</sup>).  $P_c$  calculation based on traditional CRE method and the new pH-CRE method. <sup>*a*</sup> This work. <sup>*b*</sup> 30  $\mu$ M sample + 1 mM pyrilamine inhibitor. <sup>*c*</sup> Traditional CRE failed since  $I - K_{in}/F_{pf} < 0$ . <sup>*d*</sup> Estimate of the minimum value. <sup>*e*</sup> Weighted mean value

(Fig. 2a), the estimated log  $P_o$  is expected to be  $\geq -0.99$ . Any entry of lower values would have raised the value of the GOF in the refinement (Table II). (It would have been necessary to have additional  $K_{in}$  measurements at pH 6.0 or 5.5 to determine a more precise value of log  $P_o$ .) The CRE based on the refined value of  $F_{pf}$  does not work at pH 7.4 and 8.5 and underestimates the  $P_cS$  value at pH 8.0 by a factor of 20 (Table IV). The  $P_cS$  values determined by the pH-CRE method (Eq. 3 incorporating the  $P_o$  from Eq. 4 refinement) are listed in Table IV and shown in Fig. 2a as log  $P_c$  unfilled circles along the dashed curve.

## Antipyrine

Since antipyrine is well below the flow limit (Fig. 2b), the regression analysis used the average value of  $F_{pf}$  determined by the lipophilic weak bases as a fixed contribution. The value of  $P_o$  was determined by regression as  $-4.13\pm0.02$  (GOF 1.2, n=12). This value compares well with those

reported in the literature. The CRE flow-corrected values and those based on calculated  $P_c$  using Eq. 3 (Table IV) agree well. The lack of any systematic pH dependence in the  $K_{in}$  values of the control compound suggests that the BBB integrity is not compromised at non-physiological pH in the 30-s perfusion duration.

## Atenolol

The BBB permeability of atenolol had not been reported before. Hence, atenolol was both a control and an object of permeability determination. The pH 5.5 and 6.5 data were used to determine the average vascular volume, which was used as a correction term (Eq. 1) in all the other measurements, including those of atenolol at pH 8.0 and 8.5. The multiple measurements of atenolol at the latter two values of pH were log-averaged and used in the regression analysis based on Eq. 4. Since atenolol is well below the flow limit, the average value of  $F_{bf}$  determined by the lipophilic weak bases was included as a fixed contribution in the calculation. With two knowns, it was possible to determine two unknowns: log  $P_o = -5.51$  and log  $P_{para} = -7.25$ . Fig. 2c demonstrates that the pH dependence follows the pH partition hypothesis above pH 8 and in acidic pH shows the expected deviation due to the small leakage through the paracellular aqueous pores in the BBB (asserting that the molecule is not a significant substrate for a cation transporter, since its determined  $P_{para}$  value is very close to the permeability of sucrose and inulin).

#### Atomoxetine

The two  $K_{in}$  values determined in this study and a third value taken from the literature (11) allowed the determination of  $F_{pf} = 0.031 \pm 0.002 \text{mL} \cdot \text{g}^{-1} \cdot \text{s}^{-1}$ . Since the  $K_{in}$  at pH 7.4 (the lowest pH) was still flow-limited (Fig. 2d), the estimated log  $P_o$  is expected to be  $\geq -0.90$ . Any entry of a lower value during refinement would have raised the value of the GOF (Table II). The traditional CRE based on the refined value of  $F_{pf}$  does not work at pH 7.4 and 8.5 and underestimates the  $P_cS$  value at pH 8.0 by a factor of 6 (Table IV).

### Imipramine

The two  $K_{in}$  values reported here allowed the determination of  $F_{pf}$ =0.032 mL·g<sup>-1</sup>·s<sup>-1</sup> and log  $P_o$ = -1.01 (errors could not be deduced since the refinement was not "overdetermined," i.e., there were two knowns and two unknowns). The traditional CRE based on the refined value of  $F_{pf}$ yielded at pH 7.4 a  $P_cS$  values that agreed with the expectations of the pH partition hypothesis, but the value at pH 8.5 was underestimated by a factor of 7 (Table IV).

#### Indomethacin

The two  $K_{in}$  values determined in this study allowed the determination of  $F_{h/}=0.081$  mL·g <sup>-1</sup>·s <sup>-1</sup> and log  $P_o = -2.14$ . The pH 5.5  $K_{in}$  is just slightly flow-limited, but the value at pH 6.5 is not appreciably affected by flow. The two points in Fig. 2 illustrate the expected trend according to the pH partition hypothesis. The traditional CRE produces reasonable (small) corrections for the two points (Table IV). The present results could not be reconciled with the pH 7.4 value reported by Parepally *et al.* (7), whose  $K_{in}$  value suggests a log  $P_o$  an order of magnitude higher than that determined here. From self-inhibition studies, there was no evidence of saturable transporter effects at pH 7.4 (7).

#### Maprotiline

The two  $K_{in}$  values reported here and the literature value (11) allowed the determination of  $F_{pf} = 0.047 \pm 0.006 \text{mL} \cdot$ 

 $g^{-1} \cdot s^{-1}$  and  $\log P_o = -0.62 \pm 0.21$  for maprotiline. This molecule has the highest intrinsic permeability of the drugs studied and is among the highest reported in the literature. The traditional CRE using the refined  $F_{bf}$  yields a reasonable  $P_cS$  value at pH 7.4, does not work at pH 8.0, and underestimates the pH 8.5 value by a factor of 11 (Table IV).

## Oxycodone

The analysis of the  $K_{in}$  data of Okura *et al.* (20) of 30  $\mu$ M oxycodone in the presence of 1 mM pyrilamine inhibitor produced log  $P_o = -3.59$  and log  $P_i = -5.47$ , using  $F_{pf} = 0.126 \text{ mL} \cdot \text{g}^{-1} \cdot \text{s}^{-1}$  reported by Okura. The intrinsic value is not sensitive to the flow limit conditions, based on the reported value of  $F_{pf}$ . Like that of atenolol and indomethacin, the pH dependence of the two  $K_{in}$  values of oxycodone illustrates the expected trend according to the pH partition hypothesis.

#### Sertraline

The two  $K_{in}$  values reported here and the one taken from the literature (11) allowed the determination of  $F_{pf} =$  $0.059 \pm 0.013$  and log  $P_o = -0.91 \pm 0.51$  for sertraline. The traditional CRE based on the refined value of  $F_{pf}$ yields a reasonable  $P_cS$  value at pH 6.5, does not work at pH 7.4, and underestimates the value at pH 8.5 by a factor of 64 (Table IV).

## The Challenge of Applying the Traditional CRE to Lipophilic Molecules

Figure 2 indicates that the log  $P_{e}$  at the flow limit includes permeability values at pH 7.4, 8.0, and 8.5 for amitriptyline, atomoxetine, imipramine, and sertraline and at pH 8.0 and 8.5 for maprotiline. A plot of these is shown in Fig. 3. The solid line represents the weighted mean log  $(F_{pf}/S)$  $-3.44 \pm 0.11 (F_{pf} 0.036 \pm 0.009 \text{mL} \cdot \text{g}^{-1} \cdot \text{s}^{-1})$  based on the above 12 points. The dashed lines represent a range based on  $\pm 3$  standard deviations, marking the proposed flow limit window (FLW) to be expected for data compiled from different laboratories. Values of reported  $F_{pf}$  [rodent / mL·min <sup>-1</sup> flow rate] from various laboratories include 0.043 [mouse/2.5] (12), 0.071 [rat and mouse/1.0] (35), 0.069 [rat/4.5] (36), 0.040 [rat/4.0] (37), 0.070 [rat/10.0] (38), and 0.050 [mouse/2.5] (39) mL·g  $^{-1}$ ·s  $^{-1}$ . In addition to the flow rate, the surgery method plays a role in the resulting vascular flow rate.

Figure 4 shows such an inter-laboratory plot of 132 published *in situ* brain perfusion effective (*not* corrected for flow) permeability,  $\log P_e(=\log(K_{in}/S))$ , values as a function of calculated PAMPA membrane  $\log P_m^{\text{PAMPA}}$  -BBB



**Fig. 3** The flow-limited permeability values of amitriptyline, atomoxetine, imipramine, maprotiline, and sertraline (12 points). The solid line represents the weighted mean log  $(F_{pf}/S) - 3.44 \pm 0.11 (F_{pf} 0.036 \pm 0.009 \text{mL} \cdot \text{g}^{-1} \cdot \text{s}^{-1})$  based on 12 points. The dashed lines represent a range based on ±3 standard deviations, marking the proposed flow limit window (FLW) to be expected for data compiled from different laboratories.

(pH 7.4) permeability values (free of ABL effects). The *in situ* brain perfusion  $K_{in}$  data of mostly lipophilic drugs were taken from four sources—two based on rat: Summerfield *et al.* (11) and Obradovic *et al.* (13); two based on mouse: Dagenais *et al.* (12) and Zhao *et al.* (14). The infusion flow rate in the mouse assays, 2.5 mL·min <sup>-1</sup>, produces practically the same cerebrovascular flow velocity (~0.04 mL·g <sup>-1</sup>·s <sup>-1</sup>) as does 20 mL·min <sup>-1</sup> in the rat



**Fig. 4** The plot of 132 *in situ* brain perfusion log  $P_e$  (=log(K<sub>in</sub>/S)) values as a function of calculated (pCEL-X) PAMPA membrane log  $P_m^{PAMPA-BBB}$ (pH 7.4) permeability values (free of ABL effects). The data were taken from Summerfield et *al.* (11) (rat, circles, n=49), Obradovic et *al.* (13) (rat, squares, n=21), Dagenais et *al.* (12) (mouse, up-triangles, n=38), and Zhao et *al.* (14) (mouse, down-triangles, n=24). The average diazepam position is represented by the diamond symbol. The solid and dashed lines in Fig. 4 define the flow limit window (FLW) as in Fig. 3. The inset plot shows the frequency distribution of the 66 compounds bounded by the FLW. The dotted curve represents a unit slope line going through the diazepam point, suggesting the expected relationship between *in situ* and PAMPA data in the absence of hydrodynamic effects.

assays. The average diazepam log  $P_e = -3.35$  (11,12) is represented by the diamond symbol. The highest log  $P_e$ (-3.14) reported is that of sertraline (11). The difference between log  $P_e$  of the two molecules, 0.21, is of the order of the expected inter-laboratory variance for *in situ* measurements and is about two times the standard deviation determined in the present analysis. The above sertraline value is greater than that reported by Dagenais *et al.* (12) by 0.32, a value again suggestive of the expected interlaboratory variance.

The solid and dashed lines in Fig. 4 define the FLW as in Figure 3. Within this FLW, 66 of the 132 compounds (50%) may be considered flow-limited. If the Summerfield *et al.* (11) value of diazepam (-3.48) were selected as a flow marker, then 53% of the molecules in the FLW could not be corrected for flow (since  $1 - K_{in}/F_{pf} < 0$ ). If the Dagenais *et al.* (12) value of diazepam (-3.38) were the designated flow marker, then 27% of the molecules could not be corrected for flow. These literature *in situ* rodent brain perfusion measurements highlight the quandary in the Crone-Renkin equation when it is applied to typical CNS drugs, which are often quite lipophilic.

The inset plot in Fig. 4 categorizes the frequency distribution of the 66 compounds bounded by the FLW. As can be seen, the highest number of flow-limited molecules has nearly the same value of  $K_{\rm in}$  as that resulting from our own analysis. The distribution appears normal in shape.

The dotted curve in Fig. 4 represents a unit slope line going through the diazepam point, suggesting the expected relationship between *in situ* and PAMPA data in the absence of hydrodynamic effects. The high scatter of the points below the flow limit can be attributed in part to transporter effects: efflux (e.g., fexofenadine, cetirizine,



**Fig. 5** The correlation plot of log  $P_o^{in situ}$  as a function of log  $P_o^{PAMPA-BBB}$ , with the PAMPA measurements (Table I) based on porcine brain extract lipids (34).

indinavir, ritonavir, and quinidine) or carrier-mediated uptake (e.g., p-F-phenylalanine, L-enantiomer). The filled circles in Fig. 4 are associated with non-Pgp substrates, whereas the unfilled circles are compounds with efflux ratios >3 based on the MDCK-MDR1 cell model (11). The filled squares are  $K_{in}$  values determined in the presence of 5  $\mu$ M CsA, whereas the unfilled squares are those measured without any inhibitor (13). The triangles correspond to mouse  $K_{in}$  values. Filled triangles are based on Pgpknockout (KO; mdr1a(-/-)) mouse results; unfilled triangles are based on wild-type (WT) mice.

## IVIVC Based on PAMPA and In Situ Intrinsic Permeability

Figure 5 shows the correlation plot of log  $P_o^{in situ}$  as a function of log  $P_o^{PAMPA-BBB}$ , with the PAMPA measurements (Table I) based on porcine brain extract lipids (34). The fitted slope is practically unit value, and the intercept is within one standard deviation of zero. With  $r^2=0.92$ , the PAMPA model appears robust, and may be used to predict log  $P_o^{in situ}$  values of uncharacterized molecules for selecting the optimal pH values for the pH-CRE method, as recommended below.

#### **pH-CRE Method Recommendations**

Although any of the lipophilic bases studied here can be used as a flow marker at pH 7.4, maprotiline is recommended as a replacement for diazepam when lipophilic CNS drugs are studied. It is not only among the most permeable molecules characterized to date, but being a base with a secondary amine, it has a  $pK_a$  appreciably higher than those of the tertiary amines (Table I). This affords flexibility in the choice of pH for the  $K_{in}$  measurement to take advantage of the pH-CRE method. In addition to the pH 7.4 (normal KRB) measurement of  $K_{in}$ , a measurement in KRB+10 mM MES, adjusted to pH 6.5, is recommended for maprotiline. If imipramine were selected, then the second buffer point could be pH 5.5 or 6.0, a little farther from the physiological pH, compared to maprotiline, but still within the plausible working pH range.

More generally, for any of the lipophilic bases considered here  $(P_o >> F_{pf} / S)$ , it can be recommended that the  $pK_a^{\text{flux}}$  value be calculated from the 'flux- $pK_a^{\prime}$ equation (15)  $\log P_o = \log(F_{pf}/S) + |pK_a - pK_a^{\text{flux}}|$ , where either the measured or predicted (e.g., pCEL-X) PAMPA-BBB intrinsic  $(P_o)$  permeability be used, along with  $F_{pf} / S = 0.036/100 \text{ cm} \cdot \text{s}^{-1}$ . That is, for a base,  $pK_a^{\text{flux}} \approx pK_a - \log P_o^{\text{PAMPA-BBB}} - 3.44$ . The optimal two buffer pH values may be selected to be (i) at the estimated  $pK_a^{\text{flux}}$  value and (ii) at about 1.7 pH units away (but within the pH 5.5–8.5 domain), in the direction of lower permeability, following the line of reasoning discussed in detail elsewhere (29). Two pH points are minimally sufficient, but more values would be advisable if the molecule is a suspected substrate for a carriermediated transport process (16).

## CONCLUSION

It was shown by the analysis of the in situ rat brain perfusion measurements in the pH 6.5-8.5 interval for five lipophilic (log  $P_{OCT}$  3.3–5.1) bases, confirmed by the analysis of 132 published rodent data as a function of passive permeability (PAMPA-BBB model), that for infusion flow rates used in several mouse and rat studies, the average cerebrovascular flow velocity  $F_{pf} = 0.036 \pm 0.009 \text{mL} \cdot \text{g}^{-1} \cdot \text{s}^{-1}$ . The distribution of 66 literature permeability measurements of apparently flow-limited molecules can be used to define a "flow-limit window" (FLW), marked off by effective BBB permeability (not corrected for flow)  $P_e^{\min} = 170$  and  $P_e^{\max} = 776$  $(10^{-6} \text{ cm} \cdot \text{s}^{-1} \text{ units})$ , based on three times the standard deviation in the  $F_{bf}$  value determined here. The Crone-Renkin equation (CRE) using diazepam as a flow marker is expected not to work for half of the molecules in the FLW and is expected to underestimate the other half of the molecules, by up to a factor of 64 (sertraline, pH 8.5). It was shown that the pH-CRE flow correction method, based on the pH partition hypothesis, can overcome this limitation for ionizable drugs.

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